W-9 — 要求纳税人识别号码及证明

所有W表格均必须以英文填写。

	W-9 ctober 2018) nent of the Treasury		Identificat	ion Numbe	r Taxpayer er and Certifi				n	iive Fo	ter. I	Oo no
Internal	Revenue Service				ructions and the late		on.					
	1 Name (as shown	on your income ta	x return). Name is req	juired on this line; do	not leave this line blank.							
İ	2 Business name/o	disregarded entity r	ame, if different from	above								
oe. ons on page 3.	3 Check appropria following seven I	te box for federal to boxes.	person whose nam	ce				4 Exemptions (codes apply only certain entities, not individuals; s instructions on page 3):			only t	
	☐ Individual/sole proprietor or ☐ C Corporation ☐ S Corporation single-member LLC				Partnership Trust/estate				Exempt payee code (if any)			
Print or type. Specific Instructions on page	Limited liability company. Enter the tax classification (C=C corporation, S Note: Check the appropriate box in the line above for the tax classification LLC if the LLC is classified as a single-member LLC that is disregarded if another LLC that is not disregarded from the owner for U.S. federal tax is disregarded from the owner for the company of th				on of the single-member owner. Do not check from the owner unless the owner of the LLC is corposes. Otherwise, a single-member LLC that				Exemption from FATCA reporting code (if any)			
8	Other (see ins					I 8			to account		ed outsid	the U.S
See S	a Address (number	r, sireet, and apt. o	r suite no.) See instru	CHORS.		Requester's	name	and add	uress (or	nonal)		
ŏ	6 City, state, and 2	ZIP code				-						
İ	7 List account num	nber(s) here (options	al)									
Par			tion Number (
					e given on line 1 to av		ial se	curity r	number	, [
packu _l reside:	p withnolding. For nt alien, sole prop	r individuals, this irietor, or disrega	is generally your so	ocial security num	ber (SSN). However, f Part I, later. For other	for a		_		-		
	- 4									1 1	- 1	1 1
					umber, see How to ge			_	\vdash			_
TIN, la	ter.	yer identification	number (EIN). If yo	ou do not have a n	umber, see How to ge	or	plove	identi	fication	numbe	r	_
TIN, la Note:	ter. If the account is in	yer identification nore than one	number (EIN). If yo	ou do not have a nuctions for line 1.		and Em	ploye	identi	fication	numbe	_	
TIN, la Note:	ter. If the account is in	yer identification nore than one	number (EIN). If you	ou do not have a nuctions for line 1.	umber, see How to ge	or	ployer	identif	fication	numbe	<u>-</u> 	H
TIN, la Note:	ter. If the account is in er To Give the Red	yer identification n more than one quester for guide	number (EIN). If you	ou do not have a nuctions for line 1.	umber, see How to ge	and Em	ploye	identii	fication	numbe		
TIN, la Note: Numbe	ter. If the account is in er To Give the Receive To Give the Rece	yer identification n more than one quester for guide cation ry, I certify that:	number (ÉIN). If yo name, see the inst lines on whose nur	ou do not have a n ructions for line 1. mber to enter.	umber, see How to ge Also see What Name	and Em		-			r 	
Part Under 1. The 2. I am Serv	ter. If the account is in the account is in the account is in the account is in the account in the account is in the account is in the account in the account in the account in the account in the account is in the account in the ac	yer identification n more than one quester for guide cation iry, I certify that: n this form is my ackup withholding n subject to back	number (EIN). If youngame, see the instraint ilines on whose nur correct taxpayer is g because: (a) I am up withholding as	ou do not have a n ructions for line 1. mber to enter. dentification numb	umber, see How to ge	a number to	be is	sued to	o me); a	and Interna	al Rev	enue nat I a
Part Under 1. The 2. I am Serv	ter. If the account is in er To Give the Rec. Certific penalties of perjuin number shown on not subject to bavice (IRS) that I an onger subject to be	yer identification n more than one quester for guide cation ury, I certify that: n this form is my in subject to back backup withholdin	number (EIN). If youngame, see the instraint ilines on whose nur correct taxpayer is g because: (a) I am up withholding as	ou do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failur	Also see What Name eer (or I am waiting for kup withholding, or (b	a number to	be is	sued to	o me); a	and Interna	al Rev	enue nat I a
Part Under 1. The 2. I am Sen no le 3. I am 4. The	If the account is it er To Give the Receive To Give the Receive To Give the Receive To Give the Receive To Give the Receive To Give the To Give the To Give To	yer identification n more than one quester for guide cation ry, I certify that: n this form is my ackup withholdin subject to back backup withold other U.S. perso ntered on this for	number (EIN). If yo name, see the installines on whose nur correct taxpayer is g because: (a) I am up withholding as ng; and n (defined below); am (if any) indicatin,	nu do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failun and g that I am exempt	Also see What Name our (or I am waiting for kup withholding, or (b to report all interest at form FATCA reporting to the control of the cont	a number to b) I have not bor dividends,	be is been r	sued to	o me); a I by the RS has i	ind Internatified	al Revi	nat I a
Parti Under 1. The 2. I am Serv no le 3. I am 4. The Certification	ter. If the account is in from a count is in a r To Give the Rec III Certific Penalties of perjunumber shown on not subject to be vice (IRS) that con an onger subject to be a U.S. citizen or FATCA code(s) e cation instruction we failed to report.	yer identification In more than one quester for guide cation In y, I certify that: In this form is my ackup withholdin other U.S. perso ontered on this for is. You must cross all interest and di ent of secured pre	number (ĒIN). If yoname, see the instifines on whose nur correct taxpayer ic g because: (a) 1 am up withholding as ig; and n (defined below); im (if any) indication s out item 2 above it idends on your tax porty, cancellation	au do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failur and g that I am exempt f you have been nc return. For real est of debt, control o	Also see What Name Per (or I am waiting for kup withholding, or (be to report all interest or t	a number to) I have not bor dividends, ng is correct. ou are current 2 does not apprement arrang	be is been r or (c)	sued to notified the IF	o me); a I by the RS has i backup gage in	Ind International International al Revi	nat I a	
Part Under 1. The 2. I am Sen no le 3. I am 4. The Certific you ha acquisi other ti	ter. If the account is in or To Give the Rec To Give the Rec To Give the Rec Certific penalties of perju number shown on not subject to be vice (IRS) that I an onger subject to to r FATCA code(s) e cation instruction re failed to report itition or abandomu signature of	yer identification n more than one quester for guide cation ry, I certify that: n this form is my ackup withholdin subject to back ackup withholdin other U.S. perso ntered on this for s. You must cross in the control of the control widends, you are to widends, you are to	number (ĒIN). If yoname, see the institutes on whose nur correct taxpayer ic g because: (a) 1 am up withholding as ig; and in (defined below); im if (any) indication is out item 2 above it idends on your tax porty, cancellation	au do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failur and g that I am exempt f you have been nc return. For real est of debt, control o	umber, see How to ge Also see What Name oer (or I am waiting for kup withholding, or (to to report all interest of the FATCA reportin tified by the IRS that ye are transactions, item? a tel you must provide yo they out you must provide yo	a number to) I have not bor dividends, ng is correct. ou are current 2 does not apprement arrang	be is been r or (c)	sued to notified the IF	o me); a I by the RS has i backup gage in	Ind International International al Revi	nat I a	
Partition Note: Number 11. The Sen In	ter. If the account is in the account is in the account is in the To Give the Receiver To Give the Receiver To Give the Receiver To Give the To Give the To Give (IRS) that I amonger subject to be nough subject to be a U.S. citizen or a U.S. citizen or FATCA code(s) e cation instruction to failed to report in the total or a Given To Give the Total Code (S) exact on the Total Code (S) exact on the Total Code (S) exact on the Total Code (S) exact on the Total Code (S) exact of U.S. person an exact of the Total Code (S) exact of U.S. person an exact of the Total Code (S) exact of U.S. person an exact of the Total Code (S) exact of U.S. person and the Total Code (S) exact of U.S. person and the Total Code (S) exact of U.S. person and the Total Code (S) exact of U.S. person and the Total Code (S) exact of U.S. person and the Total Code (S) exact of U.S. person and U.S. p	yer identification in more than one quester for guide cation y, I certify that: in this form is my ackup withholdin in subject to back ackup withholdin other U.S. perso intered on this for is you must cross all interest and di, ent of secured pre vidends, you are in C vuctions	number (EIN). If yo name, see the inst lines on whose nur ocorrect taxpayer ic g because: (a) I am up withholding as ng; and n (defined below); m (if any) indicatine sout item 2 box porty, cancellation to required to sign	nu do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failur and g that I am exemp f you have been no return. For real est of debt, control the the certification, b	umber, see How to ge Also see What Name oer (or I am waiting for kup withholding, or (to to report all interest of the FATCA reportin tified by the IRS that ye are transactions, item? a tel you must provide yo they out you must provide yo	a number to) I have not to or dividends, ng is correct. bu are current 2 does not apprement arrang ur correct TIN	be is seen r or (c)	sued to notified the IF	o me); a d by the RS has r backup gage in , and ge	Ind Internatified withhoterest parently, s for Pa	al Rev I me ti I me ti Diding paid, paym paym part II, I	becausents
Pari Under 1. The 2. I am Sen no le 3. I am 4. The Certifie you ha socquisi acquis acquis acquis acquis acquis acquis acquis acquis acquis acquis acquis acquis acquis acquis acquis acquis acquis acq	ter. If the account is in the account is in the account is in the To Give the Reservation of the To Give the Reservation of the To Give the Reservation of the To Give (RIS) that I amonger subject to be originally the To Give (RIS) that I amonger subject to the To Give	yer identification more than one quester for guide cation ry, I certify that: this form is my ackeup withholding subject to back ackeup withholding other U.S. person and reded on this for s. You must cross all interest and other than of secured prividends, you are c cuctions o the Internal Re	number (EIN). If yo name, see the instillines on whose nur correct taxpayer ic g because: (a) I am up withholding as gg; and n (defined below); m (if any) indicatin, so ut item 2 above it iddends on your tax perty, cancellation not required to sign venue Code unless	au do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failun and g that I am exemp you have been ne return. For real est of debt, control to debt, control the certification, b	umber, see How to ge Also see What Name oer (or I am waiting for kup withholding, or (to to report all interest of them FATCA reportin tiffed by the IRS that ye ate transactions, them 2 are to an action of I you must provide yo Form 1099-DIV (di funds) Form 1099-MISC proceeds)	and Em a number to b) I have not to or dividends, ng is correct. bu are current 2 does not apa ur correct TIN Date > Date (various type)	be is seen r or (c)	sued to totified the IF	backup gage in, and ge from s	International International International International International International International International International International International International International International International Internation	al Rev I me ti bolding paid, paym part II, I	becausents ater.
Partition Note: Number 1. The 2. I am Sen no le 3. I am 4. The Certific You had acquisionther the Sign Here Ger Gertod. In the control of the	ter. If the account is in a r To Give the Research Country in the Research Cou	yer identification in more than one quester for guide cation y, I certify that: In this form is my ackup withholdin in subject to back ackup withholdin orber U.S. perso ackup withholdin orber U.S. perso all interest and di ner of secured pri vidends, you are i C ructions other latest info	number (EIN). If you name, see the instillines on whose nur lines on li	au do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failur and g that I am exemp you have been no return. For real est of debt, contidit the certification, b	umber, see How to ge Also see What Name oer (or I am waiting for kup withholding, or (to to report all interest; of from FATCA reportin tiffed by the IRS that y also transactions, Item ons to an individual retir t you must provide yo Form 1099-DIV (di funds) Form 1099-BIVS proceeds) Form 1099-BISS proceeds) Form 1099-BISS proceeds) Form 1099-BISS proceeds)	and B a number to b) I have not b or dividends, ng is correct. Du are current 2 does not apperement arrang ur correct TIN Date > Cividends, incl (various type cck or mutual tkers)	be is seen r or (c); thy subty	sued to totified the IFI	o me); a by the kS has in backup, and gegen and gegen from s	and International withherest personal and award	al Rev I me ti bolding paid, paym part II, I	becausents ater.
Part Under 1. The 2. I am Sen No I am Sen Sen Sen Sen Sen Set Sign Here Ger Ger Ger Ger Ger Ger Ger Ger Ger	iff the account is in a ror 70 Give the Research Person III Certific penalties of perjuin unumber shown on not subject to be used to the research Person III Certification or FATCA code(s) e cation instruction we failed to report in the research III certification or FATCA code(s) e cation instruction we failed to report in the research III certification interest and displayment of U.S. person Person III not references are to developments.	yer identification m more than one quester for guide cation y, I certify that: I this form is my ackup withholdin n subject to back ackup withholdin other U.S. perso all interest and die retroit of secured providends, you are to cate of the providends, you are to cate of the providends of the interest and the cate of the providends, you are to cate of the providends of the internal Re- For the latest linf, if it is instructions, d, go to www.re-	number (EIN). If you name, see the instillines on whose nur lines on li	au do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failur and g that I am exemp you have been no return. For real est of debt, contidit the certification, b	umber, see How to ge Also see What Name oer (or I am waiting for kup withholding, or (b t to report all interest: throm FATCA reportin tified by the IRS that y ate transactions, item to to an individual to tyou must provide y Form 1099-DIV (di funds) Form 1099-MISC proceeds) Form 1099-B (stor transactions by briol Form 1099-B (stor transactions by briol Form 1099-S (groo	and B anumber to b) I have not to or dividends, and is correct. Ou are current and prement arrange ur correct TIN Date > ividends, incl. (various type ck or mutual kers)	be is seen r or (c); thy subty	sued to the IF sued t	o me); a by the kS has in backup, backup, and ge struction in from s prizes in from s prizes in from s prizes.	ind International Internationa	al Review of the terminal and the termin	becausents ater.
Part Under 1. The 2. I am Sen no le 4. The Certifi Here Ger Ger Future F	if the account is in a r To Give the Research Re	yer identification m more than one quester for guide cation y, I certify that: In this form is my ackeup withholding the thin this form is my ackeup withholding this form this form is my ackeup withholding this form withholding my ackeup withholding this form withholding this form withholding this form withholding my ackeup withholding this form withholding thi	number (EIN). If you name, see the instillines on whose nur owners are not only because: (a) 1 am up withholding as 19; and (defined below); m (if any) indicating sout item 2 above indends on your tax porty, cancellation not required to sign venue Code unless ormation about desuch as legislation gow/FormW9.	au do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failur and g that I am exempt you have been no retum. For real est of debt, control to the certification, b	umber, see How to ge Also see What Name oer (or I am waiting for kup withholding, or (to to report all interest; of from FATCA reportin tiffed by the IRS that y also transactions, Item ons to an individual retir t you must provide yo Form 1099-DIV (di funds) Form 1099-BIVS proceeds) Form 1099-BISS proceeds) Form 1099-BISS proceeds) Form 1099-BISS proceeds)	and Em a number to b) I have not b or dividends, and is correct. bu are current does not appreciately a correct. bu are current arrang ur correct TIN Date Vividends, incl (various type ck or mutual kers) ceeds from rechant card a	be is seen r or (c) thy subtraction or (c) the seen r or (c) the s	sued to contified the IF to contified the IF to contified the IF to contified the IF to continue the IF to c	o me); a backup backup backup gage in and ge struction from s	und International Internationa	al Review of the terminal and the termin	becausents atter.
Partiture related after the Purp An ind informtification.	if the account is in the account is in the account is in the To Give the Rest III. Certific penalties of perjuir penalties of perjuir penalties of perjuir penalties of perjuir penalties of perjuir penalties of perjuir penalties of perjuir penalties of	yer identification more than one quester for guide cation y, I certify that: this form is my ackup withholdin subject to back ackup withholdin subject to back ackup withholdin subject to back ackup withholdin subject to back ackup withholdin subject to back ackup withholdin subject to back ackup withholdin subject to back ackup withholdin subject to back ackup withholdin to be provident of the form is my care to be providently care to be providently care to be providently to be	number (EIN). If yo name, see the inst lines on whose nur or correct taxpayer ic g because: (a) I am up withholding as ng; and n (defined below); am if any) indicatin so ut item 2 above if iddends on your tax porty, cancellation to required to sign wenue Code unless commation about det such as legislation gov/Form/W9.	au do not have a n ructions for line 1. mber to enter. Jentification numb exempt from bac a result of a failun and g that I am exempt you have been nc return. For real est of debt, continuit the certification, b	umber, see How to ge Also see What Name oer (or I am waiting for kup withholding, or (b to report all interest: the from FATCA reportin tiffied by the IRS that y ate transactions, Item 2 ins to an individual in the Individual Form 1099-DIV (di funds) Form 1099-BIISC proceeds) From 1099-BIISC Form 1099	and B a number to b) I have not b or dividends, ing is correct. Du are current 2 does not apprement arrang ur correct TIN Date > widends, incl (various type coeds from rechant card a mortgage interest are described to the coeded debt)	be is seen r or (c) thy subspect of control of the	sued to totified the IF the I	o me); a backup the Shas is backup to the Sh	withherest process of tooks of award ain oth	al Revi	becausents ater. ual gross ons)
Partition of the control of the cont	if the account is in a r To Give the Research In Str. FATCA code(s) e cation instruction we failed to report ition or abandommer and instruction or and instruction inst	yer identification more than one quester for guide cation ry, I certify that: this form is my ackety withholding subject to back ackety withholding other U.S. person and cackup withholding other U.S. person and read on this for s. You must cross all interest and did not not of secured private control number (EIN). If you name, see the institutions on whose nur of the property o	us do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failun and g that I am exemp f you have been no return. For real est of debt. contribution the certification, b to otherwise velopments e nacted to file an opayar opaya	umber, see How to ge Also see What Name oer (or I am waiting for kup withholding, or (b to report all interest: the from FATCA reportin diffied by the IRS that y also transactions, lend; to the IRS that y also transactions, lend; to the IRS that y also transactions, lend; to from FATCA reportin diffied by the IRS that y also transactions, lend; to form 1099-DIV (di funds) Form 1099-MISC proceeds) Form 1099-B (pro Form 1099-B (and B a number to b) I have not b or dividends, ing is correct. Date b a number to b) I have not b or dividends, ing is correct. Date b core out and in a correct TIN Date b kers) coeds from rechant card a mortgage into coeled debt) usistion or ability if you are in the coeled debty.	be is seen r or (c) seen r or	sued to tootified to the IFI tootified to the IFI tootified to the IFI tootified to the IFI tootified to the IFI tootified to the IFI tootified to the IFI tootified to the IFI tootified to the IFI tootified to the IFI too	o me); a bockup; a bockup; boc	ind International Internationa	al Revi	becausents ater. ual gross ons)	
Part Under 1. The 2. I am 3. I am 3. I am 4. Section and 1. The Certific other till Sign Here Section noted. A nind information.	if the account is in a r To Give the Research In Str. FATCA code(s) e cation instruction we failed to report ition or abandommer and instruction or and instruction inst	yer identification more than one quester for guide cation ry, I certify that: this form is my ackety withholding subject to back ackety withholding other U.S. person ackety withholding other U.S. person all interest and dis with ackety come com	number (EIN). If yo name, see the institutions on whose nur or provided the provided that the provided	us do not have a n ructions for line 1. mber to enter. dentification numb exempt from bac a result of a failun and g that I am exemp f you have been no return. For real est of debt. contribution the certification, b to otherwise velopments e nacted to file an opayar opaya	umber, see How to ge Also see What Name er (or I am waiting for kup withholding, or (b t to report all interest: the trom FATCA reportin tiffied by the IRS that y ate transactions, item so to an individual Form 1099-DIV (di funds) Form 1099-MISC proceed) Form 1099-B (store Form 1099-B (store Form 1099-B (store Form 1099-S (groot Form	a number to b) I have not to or dividends, incl does not apprement arrangurement Tilm Form W-9 if you are a ur correct Tilm Form W-9 if you are a ur correct Tilm Form W-9 if you are a more trangurement arrangurement arrangurem	be is seen r or (c) seen r or	sued to otified the IF is a superior with the IF is a superior morth to (IRA), the instancement of the IF is a superior with the instancement of the IF is a superior with the IF is a superior more superior with the IF is a sup	o me); a by the as he has a backup gage in and ge truction in the same as a prize same as a prize same as a contract to the und International Internationa	al Revi	becausents atter. ual gross ons) erest) ent might	



如为联名户口,每位户口持有人必须分别填写一份表格。 W-9表格必须准确填写,不得涂改。 如果填写有误,请用新表格重新填写。 请勿使用涂改液或其他涂改工具。

第1栏 填写您在所得税报表中所示的全名。

第2栏 填写您的商业名称/无行企业实体名称,仅于其与第1栏所填「名称丨不同时填写。

第3栏 只选择**其中一个**方格。如果您是一家有限责任公司(Limited liability company),请只选择 「有限责任公司」的方格,并在所示栏位填写C、S或P。

第4栏 (如适用)在所示栏位填写「获豁免收款人代码」和「豁免FATCA申报代码」)。 注意:如果您对上述代码存有疑问,请寻求独立税务意见。

第5样 在第一行请填写完整的街道地址。

第6栏 在第二行填写城市/城镇、国家及/或州,以及邮政编码。

第7栏 请勿填写户口号码,否则表格将仅限于所列户口使用,您可能须为其他户口另外填写表格。

第一部分:纳税人识别号码(TIN)请按照指示填写相关栏位:

▲ 个人/独资经营业主:社会保障号码(SSN)。

<u> 现</u>

3 实体(即商业机构):雇主身分识别号码(EIN)。

注意:有效的美国纳税人识别号码应由9个数字组成。 纳税人识别号码通常都会:

- ◆ 包含数字 (不含字母或其他字符)
- ◆ 由9个数字组成

而不会

- ◆ 含有9个相同的数字
- ◆ 或 含有9个顺序排列的数字(无论升序还是降序)。

如果您正在申请纳税人识别号码,请在社会保障号码或雇主身分识别号码一栏填写 "Applied" (已申请)。您必须在60天内向我们提供您的纳税人识别号码。

第二部分:证明

C和D 请签名并填写日期。如果代表某个实体签名,必须确保您有权代表该实体签名。

注意: 除非授权书特别注明代理人/律师可以签署税务文件或税务表格(并提供或持有相关副本),或者提供国税局2848表格,否则本表格不得通过授权书授权签署。

汇丰不能提供任何税务建议。如需有关建议,请谘询独立税务顾问。

W-9 form; request for Taxpayer Identification Number and Certification

All W Forms must be completed in English

Departr	October 2018) ment of the Treasury			t for Taxpayer umber and Certif			Give Form to the requester. Do no send to the IRS.
internal	1 Name (as shown		ax return). Name is required on thi				
		,	,				
	2 Business name/o	disregarded entity	name, if different from above				
ෆ්	0 Ob t	t- b t tt			h	4 Evereti	ions (codes apply only t
Print or type. fic Instructions on page	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.						ities, not individuals; se s on page 3):
8	Individual/sole		C Corporation S Corp	poration Partnership			
pe.	single-member					Exempt pay	yee code (if any)
o de			the tax classification (C=C corpor ox in the line above for the tax clas			Everntion	from FATCA reporting
Print or type.	LLC if the LLC	C is classified as a	single-member LLC that is disreg	arded from the owner unless the	owner of the LLC is	anda 64 an	
<u>اؤ</u> ي	is disregarded	d from the owner s	should check the appropriate box				
Specif	Other (see ins 5 Address (number		or suite no.) See instructions.		Requester's name		ounts maintained outside the LL: (optional)
See S	was one pramition	., oor, una apr. 1			questa s dane		1-p
w).	6 City, state, and 2	ZIP code					
	7 List account num	harfs) harn (onties	ngl)				
	7 List account hun	iber(s) riere (opuor	iaij				
Par	tl Taxpa	ver Identifica	ation Number (TIN)				
Note: Numb	ater. If the account is in er To Give the Red	n more than one quester for guide	n number (EIN). If you do not he name, see the instructions for elines on whose number to en		or or	r identificatio	on number
Patr Under 1. The 2. I an Ser no I 3. I an 4. The	If the account is in the rown of the rown	cation n more than one quester for guide cation ny, I certify that: n this form is m ackup withold subject to bac ackup withhold other U.S. perso ntered on this fo s. You must cros s. You must cros	name, see the instructions fo ellines on whose number to en y correct taxpayer identification in g because: (a) I am exempt fr kup withholding as a result of ling; and on (defined below); and orn (if any) indicating that I am so out it me 2 above if you have	ave a number, see How to g If line 1. Also see What Name In number (or I am waiting for om backup withholding, or a failure to report all interest exempt from FATCA report been notified by the IRS that	e and Employer B a number to be is: b) I have not been r t or dividends, or (c) ing is correct.	sued to me notified by t) the IRS ha); and the internal Revenue as notified me that I is
Pate Under 1. The 2. I am Ser no I 3. I am 4. The Certifityou ha acquist other to	if the account is if the account is if the account is if the Account is if the Account is if the Account is in the Account is a constant in the Account is a constant in the Account is a constant in the Account is a constant in the Account in the	n more than one quester for guide cation iny, I certify that: n this form is my ackup withholdin n subject to bac backup withholdin other U.S. person thered on this for is. You must crost all interest and d	name, see the instructions fo ellines on whose number to en ellines on whose number to en y correct taxpayer identification go because: (a) I am evempt if kup withholding as a result of ling; and on (defined below); and	ave a number, see How to g If line 1. Also see What Name In number (or I am walling to on backup withholding, or (or fa failure to report all interest even pt from FATCA report been notified by the IRS that, real estate transactions, item individual ret	or and B ar a number to be is: b) I have not been rior dividends, or (c) ing is correct. you are currently sub 2 does not apply. Feligment arrange.	sued to me notified by t the IRS ha); and the internal Revenue as notified me that I a kup withholding beca interest paid, generally, payments
Patr Under 1. The 2. I an Ser no I 3. I an 4. The Certifi you ha acquis	it if the account is in the room to in the room to interest and in the room to	n more than one quester for guide cation ry, I certify that: n this form is mackup withholdin a subject to bac backup withhold other U.S. person thered on this for sal interest and dent of secured prividends, you are	name, see the instructions fo ellines on whose number to en y correct taxpayer identificatio ng because: (a) I am exempt fr kup withholding as a result of ing; and on (defined below); and orn (if any) indicating that I am so out item 2 above if you have lividends on your tax return. For operty, cancellation of debt, or property, cancellation of debt, or	ave a number, see How to g If line 1. Also see What Name In number (or I am walling to on backup withholding, or (or fa failure to report all interest even pt from FATCA report been notified by the IRS that, real estate transactions, item individual ret	or and B ar a number to be is: b) I have not been rior dividends, or (c) ing is correct. you are currently sub 2 does not apply. Feligment arrange.	sued to me notified by t the IRS ha); and the internal Revenue as notified me that I a kup withholding beca interest paid, generally, payments
Part Under 1. The 2. I am Ser of the 1 the	it fit he account is in the account is in the account is in the Feb. 2 Certifit penalties of perjue number shown to in not subject in not subject to brice (if45) that I amonger subject to brian a U.S. citizen or a FATCA code(s) elication instruction averalled to report than interest and dispersional int	n more than one quester for guide cation Iny, I certify that: In the form is my ackup withholdin an ackup withhold other U.S. person thered on this forms the control of t	name, see the instructions fo ellines on whose number to en y correct taxpayer identification go because: (a) I am exempt fr kup withholding as a result of ing; and on (defined below); and orm (ff any) indicating that I am so out item 2 above if you have widends on your tax return. For operty, cancellation of debt, co not required to sign the certific	ave a number, see How to g line 1. Also see What Name tor. n number (or I am waiting fo om backup withholding, or f a failure to report all interest exempt from FATCA report been notified by the IRS that real estate transactions, item from individual ret ation, but you must provide y Form 1099-DIV (c funds)	r a number to be is: b) I have not been to or dividends, or (c) ing is correct. you are currently sub. 2 does not apply. Feltrement arrangemen our correct TIN. See	sued to me notified by t the IRS ha	i); and the Internal Revenue is notified me that I : sup withholding beca interest pald, generally, payments ions for Part II, later.
Part Under 1. The 2. I am Ser of the 1 the	it fit the account is in the account is in the account is in the To Gilve the Red Control of the To Gilve the Red Control of the To Gilve the Service (RS) that on a U.S. citizen or FATCA code(s) elication instruction are falled to report altition or abandonm than interest and did Signature of U.S. person on the Total Control of the Total Contr	n more than one quester for guide cation Iny, I certify that: In the form is my ackup withholdin an ackup withhold other U.S. person thered on this forms the control of t	name, see the instructions fo ellines on whose number to en y correct taxpayer identificatio ng because: (a) I am exempt fr kup withholding as a result of ing; and on (defined below); and orn (if any) indicating that I am so out item 2 above if you have lividends on your tax return. For operty, cancellation of debt, or property, cancellation of debt, or	ave a number, see How to g If line 1. Also see What Name In number (or I am waiting to orn backup withholding, or of a failure to report all interest even notified by the IRS that, real estate transactions, item to make the seed of the transactions, item Form 1099-DIV (c funds) Form 1099-DIV (c funds) Form 1099-MISC	r a number to be is: b) I have not been r or dividends, or (c) ling is correct. you are currently sub- 2 does not apply. Firefrender tarranger arranger or correct TIN. See Date >	sued to me outified by t the IRS has bject to back or mortgage it (IRA), and the instruct	a); and the Internal Revenue is notified me that I is sup withholding beca interest paid, generally, payments ions for Part II, later.
TIN, la Note: Numb Pare 1. The 2. I an Ser an 4. The Certifityou had acquisityou other 1. Section other 4. Section of the Certifity out had a country of the Certifityou had acquisityou had a country of the Certifityou had a country of the Certifity out the Certifityou had a country of the Certifity out the Cer	it fit he account is in the account is in the account is in the To Gilve the Receiver To Gilve the Receiver To Gilve the Receiver To Gilve the Receiver To Gilve the To To To To To To To To To To To To To	m more than one quester for guide cation cation y, I certify that: this form is my cackup withholding to be cackup withholding to be cackup withholding to be cackup withholding to be cackup withholding to be cackup withholding to be cackup withholding to be cackup withholding to be cackup with of the cackup with of the cackup withholding to be cackup with of the cackup withholding with of the cackup withholding withholding with of the cackup withholding w	name, see the instructions fo ellines on whose number to en y correct taxpayer identification by because: (a) I am exempt fr kup withholding as a result of ing; and on (defined below); and orm (ff any) indicating that I am so cut itam 2 above if you have invidends on your tax return. For operty, cancellation of debt, co not required to sign the certific evenue Code unless otherwise formation about development, such as legislation enacted	ave a number, see How to g I line 1. Also see What Name In number (or I am waiting to n number (or I am waiting to a failure to report all interest been notified by the IRS that; real estate transactions, item - Form 1099-DIV (c funds) - Form 1099-MISC proceeds) - Form 1099-MISC - Form 1099-MISC - Form 1099-MISC	or and B Employer a and B Employer a number to be is to recommend the second to be an order or dividends, or (c) ting is correct. you are currently sub 2 does not apply. Full rement arrangemour correct TIN. See Date Date Date Carlous types of ir	sued to me notified by to the IRS has bject to back or mortgage it (IRA), and the instruct	a); and the Internal Revenue is notified me that I is supported by the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of the control of the support of
TIN, la Note: Numb Par Under 1. The 2. I an Ser an Au. The Certiff you had acquise other 1 Sign Here Gel Futur relate after t	it if the account is in the account is in the account is in the To Give the Rectar in the To Give the Rectar in the To Give the Rectar in the To Give the To Give the To Give GiRS) that I and longer subject to be a U.S. eitze was failed to report. The To Give the To Give To Giran interest and display the To Gira	m more than one quester for guide cation (yes left) and (yes left)	name, see the instructions fo ellines on whose number to en y correct taxpayer identification by because: (a) I am exempt fr kup withholding as a result of ing; and on (defined below); and orm (ff any) indicating that I am so cut itam 2 above if you have invidends on your tax return. For operty, cancellation of debt, co not required to sign the certific evenue Code unless otherwise formation about development, such as legislation enacted	ave a number, see How to g If in 1. Also see What Name In number (or I am waiting to om backup withholding, or (a failure to report all interest exempt from FATCA report been notified by the 198 that; exempt from FATCA report been notified by the 198 that; exempt from FATCA report been notified by the 198 that; exempt from a fatigue to the 198 that; exempt from 1099-DIV (funds) - Form 1099-Bits - Form 1099	or and B Employer a and B Employer a number to be is to a number to be is to a number to the interpretable of th	sued to me notified by the IRS has belief to back or mortgage it (IRA), and the instruct those from	a); and the Internal Revenue as notified me that I is keep withholding beca interest paid, generally, payments ions for Part II, later. In stocks or mutual tee, awards, or gross ertain other
Par Under 1. The 2. I am Ser no I 3. I am 4. The Certific other 1. Sign Here Gel Section ted 1. Section ted 1. The Section ted	it fit the account is in the account is in the account is in the To Gilve the Red Control of the To Gilve the Red Control of the To Gilve the Red Control of the To Gilve (Pis) that the vivice (Pis) that the longer subject to be a U.S. citizen or FATCA code(s) eleation instruction as falled to report altion or abandonma the Control of the To Gilve the Control of the To Gilve the Control of the To Gilve the Control of the Co	m more than one quester for guide cation my, I certify that: In this form is my subject to be anackup withholdin cacheckup withholdin cacheckup withhold on the form of the U.S. personated on this form is my subject to be anackup withhold on the form of secured providends, you are considered to the form of secured providends, you are considered to the internal Reference to the int	ename, see the instructions fo ellines on whose number to en ellines on whose number to en y correct taxpayer identification go because: (a) I am exempt if kup withholding as a result of ling; and or (defined below); and orm (if any) indicating that I are so ut Item 2 above if you have widends on your tax return. For operty, cancellation of debt, co not required to sign the certific evenue Code unless otherwise formation about development , such as legislation enacted s.gov/FormW9.	ave a number, see How to g If line 1. Also see What Name In number (or I am waiting to orn backup withholding, or of a failure to report all interest been notified by the IRS that, real estate transactions, item Form 1099-DIV (c funds) Form 1099-DIV (c funds) Form 1099-MISC Form 1099-Bits Form 1099-Bits Form 1099-S pr Form 1099-S (pr Form 1099-S (pr Form 1099-S) (pr Form 1099-S (pr Form 1099-S) (pr	or a number to be is: and Employer a number to be is: b) I have not been in or dividends, or (c) ing is correct. you are currectly sub 2 does not apply. Fi tirement arrangement our correct TIN. See Date > Date > Output Dividends, including to correct to the correct to	sued to me notified by the IRS has been notified by the IRS has been mortgaged to (IRA), and the instruct in those from a come, prize sales and contact transacted party ne	i); and the Internal Revenue is notified me that I is soutified me that I is soutified me that I is supported by the Internal I is southernal internal inter
Par Under 1. The 2. I am Ser no I 3. I am 4. The Certific other 1 Sign Here Gel Section noted Futum Pull An inc	it fit the account is in the account is in the account is in the To Gilve the Reis and To Gilve the Reis and To Gilve the Reis and To Gilve the Reis and To Gilve the Reis and To Gilve the	m more than one quester for guide cation my, I certify that: n this form is my neckup withholding to be cackup withholding cackup withholding cackup withholding cackup withholding cackup withholding cackup withholding cackup withholding cackup withholding cackup withholding cackup withholding cackup without cackup without cackup without cackup with cackup with cackup with cackup with cackup with cackup with cackup with cackup with cackup with cackup with cackup with with cackup with with cackup with with cackup with with with with with with with with	name, see the instructions fo ellines on whose number to en ellines on whose number to en y correct taxpayer identification on because: (a) I am exempt fr kup withholding as a result of ing; and on (defined below); and orm (if any) indicating that I am so cut item 2 above if you have widends on your tax return. For operty, cancellation of debt, co not required to sign the certific evenue Code unless otherwist formation about development i, such as legislation enacted s.gov/Form/W9.	ave a number, see How to g Iline 1. Also see What Name tor. In number (or I am waiting to mom backup withholding, or f a failure to report all interest exempt from FATCA report been notified by the IRS that real estate transactions, item Form 1099-DIV (or funds) Form 1099-DIV (or funds) Form 1099-Byte Form 1099-S gpr Form 1099-S gpr Form 1099-S gpr Form 1099-S gpr Form 1099-S gpr Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1099-S mom Form 1098-S or a number to be is: and Employer a number to be is: b) I have not been in or dividends, or (c) ing is correct. you are currectly sub 2 does not apply. Fi tirement arrangement our correct TIN. See Date > Date > Output Dividends, including to correct to the correct to	sued to me notified by the IRS has been notified by the IRS has been mortgaged to (IRA), and the instruct in those from a come, prize sales and contact transacted party ne	i); and the Internal Revenue is notified me that I is sup withholding beca i interest paid, generally, payments tons for Part II, later. In stocks or mutual ses, awards, or gross ertain other ctions)	
Part Under 1. The Note: Numb Under 1. The Series of the Certifity you had acquise other to Sign Here Gel Section noted Futuru Fulation of the Information of the Information i	it fit he account is in the account is in the account is in the To Gilve the Receiver To Gilve the Receiver To Gilve the Receiver To Gilve the Receiver To Gilve the Receiver To Gilve the	m more than one quester for guide cation cation ry, I certify that:	name, see the instructions fo ellines on whose number to en ellines on whose number to en y correct taxpayer identification go because: (a) I am exempt fr kup withholding as a result of ing; and on (defined below); and orm (if any) indicating that I am so out item 2 above if you have widends on your tax return. For operty, cancellation of debt, co not required to sign the certific evenue Code unless otherwise formation about development i, such as legislation enacted s.gov/Form/W9.	ave a number, see How to g I line 1. Also see What Name In number (or I am waiting to orn backup withholding, or of a failure to report all interest been notified by the IRS that, real estate transactions, item to been notified by the IRS that, real estate transactions, item Form 1099-DIV (c funds) Form 1099-DIV (funds) Form 1099-MISC Form 1099-MISC Form 1099-S (pr Form 1099-S (pr Form 1099-S (pr Form 1098-K (ms Form 1098	or a number to be is by I have not been re or dividends, or (c) ing is correct. you are currently sub 2 does not apply. Filtrement arrangement our correct TIN. See Date by Cividends, including a cyarious types of ir bock or mutual fund is kers).	sued to me notified by the IRS has been notified by the IRS has been mortgaged to (IRA), and the instruct in those from a come, prize sales and contact transacted party ne	i); and the Internal Revenue is notified me that I is soutified me that I is soutified me that I is supported by the Internal I is southernal internal inter
Part Under 1. The Series of the relative after t Puri An incidential (SSN).	if the account is in the account is in the account is in the To Give the Rect III and III are penalties of perjuit penalties of perjuit penalties of perjuit penalties of perjuit penalties of perjuit penalties of perjuit penalties of penalt	m more than one quester for guide cation y, I certify that: In this form is m, ackup withholdin subject to be a subject to be a cackup withholdin other U.S. person natered on this for subject to be all interest and of ill interest and other U.S. person natered on this for subject to be under on this for the subject to be under on the subject of under on the subject of under on the under on under on the under on under on the under on under on the under on under on the under o	ename, see the instructions foelines on whose number to en elines on whose number to en glence on whose number to en glence on the glence of t	ave a number, see How to g iline 1. Also see What Name tor. n number (or I am waiting for om backup withholding, or o a failure to report all interest exempt from FATCA report been notified by the IRS that, real estate transactions, item form 1099-DIV (or funds) Form 1099-B (stat transactions by the Form 1099-B (stat transactions by the Form 1099-B (grant Form 1099-B (grant Form 1099-B (grant Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant)	r a number to be is by I have not been in or dividends, or (c) by I have not been in or dividends, or (c) ing is correct. you are currently sub 2 does not apply. Full imment arrangement arrangement arrangement pour correct TIN. See Date > Date > Date > Date in the properties of the properties o	sued to me notified by the IRS has been continued by the IRS has been controlled by the IRS has been controlled by the IRS has been compared by the IRS has been controlled by the IRS has been controll	o); and the Internal Revenue is sottlified me that I is sottlified me that I is sottlified me that I is sottlified me that I is sometimes to paid, generally, payments tons for Part II, later. In stocks or mutual uses, awards, or gross ertain other ctions) twork transactions) tudent loan interest) cured property)
Part Under Numb Part Under Numb 1. The 2. I an Ser no I an 4. The Certific Numb 3. I an 4. The Certific Number I acquisother I Sign Here Gel Section for the I and I	it ff the account is in the account is in the account is in the To Gilve the Red Control of the Red Control	m more than one quester for guide cation my, I certify that me the property of the cation my, I certify that me the cate of th	ename, see the instructions fo ellines on whose number to en ellines on whose number to en y correct taxpayer identificatio go because: (a) I am evempt it kup withholding as a result of ing; and mr (if any) indicating that I am so cut item 2 above if you have widends on your tax retum. For roperty, cancellation of debt, or not required to sign the certific evenue Code unless otherwise formation about development s, such as legislation enacted s, gov/FormW9.	ave a number, see How to g iline 1. Also see What Name tor. n number (or I am waiting for om backup withholding, or o a failure to report all interest exempt from FATCA report been notified by the IRS that, real estate transactions, item form 1099-DIV (or funds) Form 1099-B (stat transactions by the Form 1099-B (stat transactions by the Form 1099-B (grant Form 1099-B (grant Form 1099-B (grant Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant) Form 1099-B (grant)	ra number to be is: b) I have not been ra or dividends, or (c) ling is correct. you are currently sub- 2 does not apply. Fic you are currently sub- 2 does not apply. Fic you correct TIN. See Date Date Lividends, including ((various types of ir you correct and the processor of the pro- tokers) you correct and the mortgage interest; nceled debt) yulistion or abandon nyil fyou are a U.S.	sued to me notified by the IRS has been continued by the IRS has been controlled by the IRS has been controlled by the IRS has been compared by the IRS has been controlled by the IRS has been controll	o); and the Internal Revenue is sottlifed me that I is sottlifed me that I is sottlifed me that I is sottlifed me that I is some for Part II, later. In stocks or mutual ose, awards, or gross ertain other citions) twork transactions) tudent loan interest; cured property)



For joint accounts, each account holder must complete a separate form.

The form W-9 must be completed correctly without any alterations.

If you make a mistake, please start again with a new form.

Do not use liquid paper or any other correctional tool.

- **Line 1** Insert the full name as shown on your income tax return form
- Line 2 Insert your business name/disregarded entity name only if the answer is different to your answer for Line 1
- Line 3 Only check one box in this section. If you are a limited liability company, check the LLC box and enter your tax classification as C (C Corporation), S (S Corporation) or P (Partnership)
- Line 4 (if applicable) Write down the 'Exempt Payee Code' and 'Exemption from FATCA reporting code' in the fields indicated

Note: if you have any queries regarding these codes, please seek independent tax advice

- **Line 5** Enter your full street address
- Line 6 Enter your city/town, country/jurisdiction and/or state, post code/ZIP
- Line 7 We recommend that you do not list any account numbers as you may have to provide forms for accounts you have not included

Part I Taxpayer Identification Number (TIN)

A For individuals or sole proprietors: enter your social security number (SSN)

B For entities (ie businesses) enter your employer identification number (EIN)

Note: A valid US Tax identification number will always

- include numbers (no letters or other characters)
- be made up of nine digits

and never

- consist of nine of the same number
- be made up of nine sequential numbers either ascending or descending

If you have applied for a TIN or are about to, please enter **Applied** for in either the SSN or TIN boxes. You will have 60 days to provide us with the TIN

Part II Certification

C and **D** Please sign and date the form. If you are signing on behalf of an entity, please be sure you are authorised to do so.

Note: This form can only be signed under a Power of Attorney (POA) if the POA specifically states that the agent/attorney is able to sign on tax matters or tax forms and a copy is provided or held, alternatively if an IRS Form 2848 is provided

HSBC is unable to provide tax advice. For tax advice please refer to an independent tax advisor.