# W-8BEN ─ 美國預扣稅及申報實益擁有人之外籍身分證明(個人)

如為聯名戶口,每位戶口持有人必須分別填寫一份表格。

W-8BEN表格必須準確填寫,不得塗改。

如果填寫有誤,請用新表格重新填寫。

請勿使用塗改液或其他塗改工具。

### 所有W表格均必須以英文填寫。

(Rev. February 2014)		Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)  For use by individuals. Entities must use Form W-8BEN-E.		OMB No. 1545-1621	
Department of the Treasury Internal Revenue Service	EN and its separate instructions	arate instructions is at www.irs.gov/formw8ben. gent or payer. Do not send to the IRS.			
Do NOT use this form	ı if:			'	Instead, use Form:
You are NOT an indi-					W-8BEN-E
	n or other U.S. person, including a resid				W-9
<ul> <li>You are a beneficial (other than personal</li> </ul>	owner claiming that income is effectively services)	y connected with the conduct	of trade or busine	ess within the U.S.	W-8ECI
	owner who is receiving compensation for				8233 or W-4
A person acting as a	n intermediary				W-8IMY
Part I Identif	ication of Beneficial Owner (se	ee instructions)			
	dual who is the beneficial owner	,	2 Country	of citizenship	
Crive Calder 3 Permanent res	idence address (street, apt. or suite no	as mind route). Do not use a	United Kingd		
165 Westbrook Lane	iderice address (street, apt. or suite no.	, or rural route). Do not use a	P.O. DOX OF IN-Ca	are-or address.	
	tate or province. Include postal code wi	here appropriate.		Country	
London NW2 54J				United Kingdo	om
4 Mailing addres	s (if different from above)				
City or town, s	tate or province. Include postal code wi	here appropriate.		Country	
, , , , , ,				,	
5 U.S. taxpayer	identification number (SSN or ITIN), if re	equired (see instructions)	6 Foreign t	ax identifying numb	per (see instructions)
	327474749				
7 Reference num	nber(s) (see instructions)	8 Date of birth (MM-DI	0-YYYY) (see instr	ructions)	
D					
Part II Claim	of Tax Treaty Benefits (for cha	pter 3 purposes only) (s	ee instructions	3)	
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- A. 請詳閱本節和相關指引,以確保使用正確的W表格。
- B. 第一部分(實益擁有人身分)

第1欄 全名(姓名)

第2欄 國籍

第3欄 在第一行填寫完整的街道地址,在第二行填寫城市或城鎮、州或省,包括郵政編碼。

請勿使用:

郵政信箱或代收地址

第三方姓名

金融機構的地址

美國地址

第4欄 如果您的郵寄地址與永久居住地址不同,請填寫郵寄地址。

注意: 如果填寫美國郵寄地址,必須書面説明使用美國郵寄地址的原因。如果填寫的國家與永久居住地所在國 家不同, 也必須書面説明原因。

第5欄 填寫您的美國納税人識別號碼(TIN)。該號碼為您的社會保障號碼(SSN)或個人納税人識別號碼(ITIN)。有效的 納税人識別號碼應由9個數字組成。

納税人識別號碼不會:

- (1) 含有數字以外的內容,
- (2) 少於或超過9個數字,
- (3) 含有9個相同的數字,或
- (4) 含有9個順序排列的數字(無論升序還是降序)。

第6欄 填寫您在美國以外的稅務識別號碼。如果沒有外國稅務識別號碼,請轉至

第8欄 並填寫您的出生日期(月月/日日/年年年年)

第7欄 請勿填寫戶口號碼,否則表格將僅限於所列戶口使用,您可能須為您的其他戶口另外填寫表格。

注意:有關誰是實益擁有人的進一步説明,請參閱W-8BEN指引。

#### C. 第二部分(申請税務協定利益)

第9欄和第10欄 僅當然是協定國居民並有權申請稅務協定利益,即您收到源自美國的固定或可確定年度或定期(FDAP) 收入(例如股息)時,才需填寫本節內容。如果對您是否有資格申請税務協定利益存有疑問,我們建議 您尋求獨立稅務意見。

- D. 第三部分(證明)
- 1. 請在表格上簽名,並在簽名下方的橫線上以正楷工整書寫姓名。
- 2. 請以月月/日日/年年年年的格式填寫日期。
- 3. 如果您代表第1欄所述的人十簽名,請填寫行事代表人這一欄。

注意:除非授權書特別注明代理人/律師可以簽署稅務文件或稅務表格(並提供或持有相關副本),或者提供國稅局2848 表格,否則本表格不得诵過授權書授權簽署。

\* 滙豐不能提供仟何稅務建議。如需有關建議,請諮詢獨立稅務顧問。



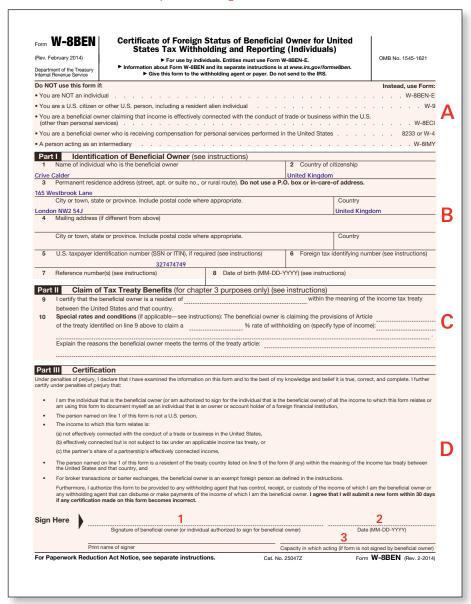




## W-8BEN - Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

For Joint Accounts, each account holder must complete a separate form. A form W-8BEN must be completed correctly without any alterations. If you make a mistake, please start over using a new form. Do not use liquid paper or any other correctional tool.

### All W Forms must be completed in English.



- A. Please read this section and the associated instructions to ensure you are completing the correct W form.
- B. PART I (Identification of Beneficial Owner)
  - Line 1 Full Name (First Name and Last Name).
  - Line 2 Country of Citizenship
  - **Line 3** Insert full street address on the first line, and the City or town, state or province including post code on the 2nd line.

DO NOT USE:

PO Box or C/O address

Name of a third party

Address at a Financial Institution

US address

Line 4 Insert a mailing address only if it is different from your Permanent residence address.

**Note:** If a US mailing address is entered, a written explanation/reason for the US mailing address will be required. If the country differs to the country in the permanent residence address then a written explanation will be required.

Line 5 Insert your US Taxpayer Identification Number (TIN). It will either be a Social Security Number (SSN) or an Individual Taxpayer Identification Number (ITIN). A valid TIN will always be made up of 9 digits.

A TIN should not:

- (1) contain something other than numbers,
- (2) contain fewer than or more than nine digits,
- (3) consist of nine of the same number, or
- (4) consist of nine sequential numbers (whether ascending or descending order).
- Line 6 Insert your non-US tax identifying number. If you do not have one, go to
- Line 8 and write down your date of birth (MM/DD/YYYY).
- Line 7 DO NOT LIST ACCOUNT NUMBERS as this could limit the form to the accounts listed and you may have to provide another form for your other accounts.

Note: Please refer to the W-8BEN instructions for further guidance on who is the beneficial owner.

- C. PART II (Claim of Tax Treaty Benefits)
  - Lines 9 and 10 Only complete this section if you are resident in a treaty country and entitled to claim tax treaty benefits, ie if you are receiving fixed or determinable, annual or periodical (FDAP) income, for example dividend payments, and the payment is from sources within the US. If you have any queries regarding your eligibility to claim tax treaty benefits, we suggest that you seek independent tax advice.
- D. PART III (Certification)
  - 1. Please sign the form and print your name on the line below your signature.
  - 2. Please date the form using the MM/DD/YYYY format.
  - 3. If you are signing on behalf of the person stated on Line 1, please complete the capacity field.

**Note:** This form cannot be signed under a Power of Attorney (POA) unless the POA document specifically mentions that the agent/attorney is able to sign on tax matters or on tax forms (and a copy is provided, or held), or alternatively if an IRS Form 2848 is provided.



<sup>\*</sup> HSBC are unable to provide any Tax advice. If you require any advice please refer to an independent tax advisor.