

W-9 — 要求纳税人识别号及证明

所有W表格均必须以**英文**填写。
本中文版本仅供参考，中英文版本如有歧义，须以英文版本为准。

Form W-9 (Rev. October 2018) Department of the Treasury Internal Revenue Service		Request for Taxpayer Identification Number and Certification		Give Form to the requester. Do not send to the IRS.
▶ Go to www.irs.gov/FormW9 for instructions and the latest information.				
1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.				
2 Business name/disregarded entity name, if different from above				
Print or type. See Specific Instructions on page 3	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.			4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Apply to accounts maintained outside the U.S.)</small>
	<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=S corporation, S=S corporation, P=Partnership) ▶ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.			
	<input type="checkbox"/> Other (see instructions) ▶			
5 Address (number, street, and apt. or suite no.) See instructions.			Requester's name and address (optional)	
6 City, state, and ZIP code				
7 List account number(s) here (optional)				
Part I Taxpayer Identification Number (TIN)				
Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> , later. Note: If the account is in more than one name, see the instructions for line 1. Also see <i>What Name and Number To Give the Requester</i> for guidelines on whose number to enter.				
		Social security number		
		OR		
		Employer identification number		
Part II Certification				
Under penalties of perjury, I certify that:				
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and				
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and				
3. I am a U.S. citizen or other U.S. person (defined below); and				
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.				
Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.				
Sign Here	Signature of U.S. person ▶	C	Date ▶	D
General Instructions				
Section references are to the Internal Revenue Code unless otherwise noted.				
Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9 .				
Purpose of Form				
An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:				
• Form 1099-INT (interest earned or paid)				
• Form 1099-DIV (dividends, including those from stocks or mutual funds)				
• Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)				
• Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)				
• Form 1099-S (proceeds from real estate transactions)				
• Form 1099-K (merchant card and third party network transactions)				
• Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)				
• Form 1099-C (canceled debt)				
• Form 1099-A (acquisition or abandonment of secured property)				
Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.				
If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See <i>What is backup withholding, later.</i>				
Cat. No. 10231X		Form W-9 (Rev. 10-2018)		

如为联名户口，每位户口持有人必须分别填写一份表格。W-9表格必须准确填写，不得涂改。
如果填写有误，请用新表格重新填写。勿使用涂改液或其他涂改工具。

- 第1栏** 填写您在所得税报表中所示的全名。
- 第2栏** 填写您的商业名称，仅于其与第1栏所填「名称」不同时填写。
- 第3栏** 仅选择其中一个方格。如果您是一家有限责任公司(Limited liability company)，请只选择「有限责任公司」的方格，并在所示栏位填写C (C Corporation)、S (S Corporation) 或 P (Partnership)。
- 第4栏** (如适用) 在所示栏位填写「豁免收款人代码」和「豁免FATCA申报代码」。
注意: 如果您对上述代码存有疑问，请寻求独立税务意见。
- 第5栏** 填写完整的街道地址。(例如: 街道, 公寓号码等)。
- 第6栏** 填写城市/ 城镇、国家/ 税务管辖区及/ 或州, 以及邮政编码。
- 第7栏** 填写帐户号码 (非必填项)。我们建议您**不要**填写账户号码, 因您可能须为未列入此表中的账户另外填写表格。

第一部分: 纳税人识别号 (TIN)

- A栏** 个人/ 独资经营业主: 填写您的社会保障号码(SSN)。
- 或**
- B栏** 实体 (即商业机构): 填写您的雇主身分识别号码(EIN)。

注意: 有效的美国纳税人识别号应

- 仅含有数字 (不含字母或其他字符)
- 由9个数字组成
- 而且不会
- 含有9个相同数字
- 含有9个顺序排列的数字 (无论升序还是降序)

如果您已经申请或计划申请纳税人识别号，请在社会保障号码或雇主身分识别号码一栏填写“**Applied**” (已申请)。您必须在60天内向我们提供您的纳税人识别号。

第二部分: 证明

C与D栏 请签名并填写日期。如果您代表某个实体签名，请确保您有权代表该实体签名。

注意: 除非授权书特别注明代理人/ 律师可以签署税务文件或税务表格，并提供或持有相关副本，或者提供国税局2848表格，否则本表格不得通过授权书授权签署。

汇丰不能提供任何税务建议。如需有关建议，请咨询独立税务顾问。

